

ANNEX A: Indicative and Non-Exhaustive List of Events Mandating Disclosure

- a) A change in control of the Issuer;
- b) The filing of any legal proceeding by or against the Issuer and/or its subsidiaries, involving a claim amounting to ten percent (10%) or more of the Issuer's total current assets or any legal proceeding against its President and/or any member of its Board of Directors in their capacity as such;
- c) Changes in the Issuer's corporate purpose and any material alterations in the Issuer's activities or operations or the initiation of new ones;
- d) Resignation or removal of directors, officers or senior management and their replacements and the reasons for such;
- e) Any decision taken to carry out extraordinary investments or the entering into financial or commercial transactions that might have a material impact on the Issuer's situation;
- f) Losses or potential losses, the aggregate of which amounts to at least ten percent (10%) of the consolidated total assets of the Issuer;
- g) Occurrence of any event of dissolution with details in respect thereto;
- h) Acts and facts of any nature that might seriously obstruct the development of corporate activities, specifying its implications on the Issuer's business;
- i) Any licensing or franchising agreement or its cancellation which may materially affect the Issuer's operations;
- j) Any delay in the payment of debentures, negotiable obligations, bonds or any other publicly traded security;
- k) Creation of mortgages or pledges on assets exceeding ten percent (10%) or more of the Issuer's total assets;
- l) Any purchase or sale of stock or convertible debt securities of other companies when the amount is ten percent (10%) or more of the Issuer's total assets;
- m) Contracts of any nature that might limit the distribution of profits, with copies thereof;
- n) Facts of any nature that materially affect or might materially affect the economic, financial or equity situation of those companies controlling, or controlled by the Issuer including the sale of or the constitution of sureties/pledges on a substantial part of its assets;
- o) Authorization, suspension, retirement or cancellation of the listing of the Issuer's securities on an exchange or electronic marketplace domestically or abroad;
- p) Fines of more than ₱50,000.00 and/or other penalties on the Issuer or on its subsidiaries by regulatory authorities and the reasons therefor;
- q) Merger, consolidation or spin-off of the Issuer;
- r) Any modification in the rights of the holders of any class of securities issued by the Issuer and the corresponding effect of such modification upon the rights of the holders;
- s) Any declaration of cash dividend, stock dividend and pre-emptive rights by the Board of Directors;
- t) Any change in the Issuer's fiscal year and the reason(s) therefor;
- u) All resolutions, approving material acts or transactions, taken up in meetings of the Board of Directors and Stockholders of the Issuer;
- v) A joint venture, consolidation, acquisition, tender offer, take-over or reverse take-over and a merger;

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- w) Capitalization issues, options, directors/officers/employee stock option plans, warrants, stock splits and reverse splits;
- x) All calls to be made on unpaid subscriptions to the capital stock of the Issuer;
- y) Any change of address and contact numbers of the registered office of the Issuer;
- z) Any change in the auditors of the Issuer and the corresponding reason for such change;
- aa) Any proposed amendment to the Articles of Incorporation and By-Laws and its subsequent approval by the Commission;
- bb) Any action filed in court, or any application filed with the Commission, to dissolve or wind-up the Issuer or any of its subsidiaries, or any amendment to the Articles of Incorporation shortening its corporate term;
- cc) The appointment of a receiver or liquidator for the Issuer or any of its subsidiaries;
- dd) Any acquisition of shares of another corporation or any transaction resulting in such corporation becoming a subsidiary of the Issuer;
- ee) Any acquisition by the Issuer of shares resulting in its holding ten percent (10%) or more of the issued and outstanding shares of another Issuer or where the total value of its holdings exceed five percent (5%) of the net assets of an unlisted corporation;
- ff) Any sale made by the Issuer of its shareholdings in another listed or unlisted corporation: (1) resulting in such corporation ceasing to be its subsidiary; (2) resulting in its shareholding falling below ten percent (10%) of the issued capital stock;
- gg) Firm evidence of significant improvement or deterioration in near term earnings prospects;
- hh) The purchase or sale of significant assets amounting to ten percent (10%) or more of the Issuer's total assets otherwise than in the ordinary course of business;
- ii) A new product or discovery;
- jj) The public or private sale of additional securities;
- kk) A call for redemption of securities;
- ll) The borrowing of a significant amount of funds not in the ordinary course of business;
- mm) Default of financing or sale agreements;
- nn) Deviation from capital investment funds equivalent to twenty percent (20%) of the original amount appropriated;
- oo) Disputes with subcontractors, customers or suppliers or with any other parties;
- pp) An increase or decrease by ten percent (10%) in the monthly, quarterly and annual revenues on a year-on-year basis.

[Ref.: Section 4.4, Article VII of PSE Disclosure Rules]